

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5270

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of: GRANDHEE

Serial No.: 10/043,816

Filed: January 10, 2002



U.S. PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RG	AA	2,979,514	04/11/61	O'Brien	260	340.2	07/16/57
RG	AB	3,479,328	11/18/69	Nordstrom et al.	260	86.1	11/18/66
RG	AC	3,674,838	07/04/72	Nordstrom	260	482	06/05/69
RG	AD	4,126,747	11/21/78	Cowherd et al.	520	166	12/28/76
RG	AE	4,279,833	07/21/81	Culbertson et al.	260	464	12/17/79
RG	AF	4,301,257	11/17/81	Zengel et al.	525	329	03/13/80
RG	AG	4,340,497	07/20/82	Knopf	252	188.3	03/21/80
RG	AH	4,758,632	07/19/88	Parekh et al.	525	383	02/06/85
RG	AI	4,791,168	12/13/88	Salatin et al.	524	601	04/15/87
RG	AJ	4,820,830	04/11/89	Blank	560	158	03/02/87
RG	AK	5,326,815	07/05/94	Serdiuk et al.	524	591	09/29/92

FOREIGN PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

RG	AR	Bo Pattersson, Perstorp Polyols- Application Technology, S-284 80 Perstorp SWEDEN, entitled
		"Hyperbranched polymers- unique design tools for multi property control in resin and coatings, pages 1-19, and Generations 2-4 1995"
RG	AS	Young H. Kim, DuPont CR&D, Experimental Station, E328/261, Wilmington, Delaware 19808, 13 April 1998
		pages 1685-1698
RG	AT	M. Trollsås et al., entitled "Highly functional branched and dendri-graft aliphatic polyesters through ring opening polymerization", vol. 31, no. 9, 1998, pages 2756-2763

EXAMINER

Rachel L. Gurr

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2-17-04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant has modified this form by deleting the word "prior".

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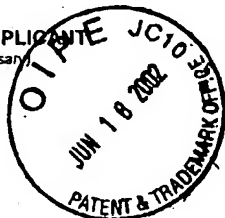
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U.S. PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RG	AA	5,356,669	10/18/94	Rehfuss et al.	427	407.1	10/23/92
RG	AB	5,373,069	12/13/94	Rehfuss et al.	525	456	07/28/93
RG	AC	5,563,208	10/18/96	König et al.	524	591	06/05/95
RG	AD	5,663,247	09/02/97	Sörensen et al.	525	533	10/24/95
RG	AE	5,792,810	08/11/98	Menovcik et al.	524	590	08/15/96
RG	AF	5,852,162	12/22/98	Smith et al.	528	272	06/27/97
RG	AG	5,869,191	02/09/99	Clemons Van Gaalen et al.	428	842	11/24/97
RG	AH	5,945,499	08/31/99	Ohrbom et al.	528	75	11/12/97
RG	AI	5,976,615	11/02/99	Menovcik et al.	427	140	07/09/97
RG	AJ	5,418,301	5/23/95	Hult et al.	525	437	7/13/94
RG	AK	5,834,118	11/10/98	Rånby et al.	428	482	5/9/97

FOREIGN PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
RG	AL	WO93/17060 -	02.09.93	EP	C08G	63/02	X	
RG	AM	WO94/10211 -	11.05.94	EP	C08F	8/30	X	
RG	AN	WO97/45474 -	04.12.97	EP	C08G	81/00	X	
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

RG	AR -	XP 000646275 Bo Pettersson, entitled " High-solid alkyds based on hyperbranched (dendritic) polymers- a new concept with new opportunities" dated 2/9-11/94 , pp.753-764						
	AS							
	AT							

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Atty. docket No. IN-5270	
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Applicant(s) GRANDHEE et al.	
Filing Date 01/10/2002	Group 1711

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U.S. PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RG	AA	4,477,624	10/16/84	Waki	524	736	9/29/82
RG	AB	5,580,922	12/03/96	Park et al.	524	733	6/6/95
RG	AC	4,868,298	9/19/89	Brinkman	540	525	6/22/88
RG	AD	3,505,297	4/7/70	Sheetz	260	78.4	1/21/63
RG	AE	6,103,387	8/15/00	Yamamoto et al.	428	482	10/14/96
RG	AF	4,801,623	1/31/89	Hess et al.	521	157	1/14/88
RG	AG	5,693,724	12/2/97	Green	525	481	7/1/96
RG	AH	5,693,723	12/2/97	Green	525	481	7/1/96
RG	AI	5,336,566	8/9/94	Rehfuss	428	524	4/30/93
RG	AJ	6,569,956	05-2003	Ramesh			
RG	AK	6,462,144	10-2002	Ramesh			

FOREIGN PATENT DOCUMENTS

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	AL							
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	AP							
	AR							
	AS							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Patent listed above	AT	Grandhee, USN 09/965,425, filed on Sept. 27, 2001, entitled "Carboxy Resin Crosslinkers, on 29 pages. <u>publication listed</u>
	AV	Ramesh, USSN 09/470,405, filed on Dec. 22, 1999, entitled "Hyperbranched polyol macromolecule, method of making same, and coating composition, PP 17
Patent listed above	AV	Ramesh et al., USSN 09/748,643, filed on Dec. 22, 2000, entitled "Carbamate functional resins and their use in high solids coating compositions, pp 38

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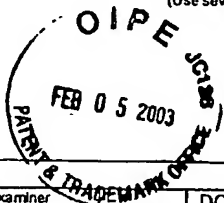
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RG	AA	20030114584	06-2003	Grandhee			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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FOREIGN PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
RG	AL	WO 01/34674	17.03.01	WO	C08G	18/67		X
RG	AM	EP 1 167 413	07.06.01	EP	C08G	18/32		X
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	English Abstract for WO01/34674
	AS	English Abstract for EP 1 167 413
RG	AT	English Language International Search Report for PCT/EP02/32976 ; 01-2003

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